



10076881 . 061202

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wang et al.

Application No. 10/076,881

: Art Unit: 1764

Filed: Feb 13, 2002

: Examiner: not yet assigned

For: A CATALYST AND METHOD  
OF STEAM REFORMING

: Atty Docket: B-1482-CIP2

**REQUEST FOR CORRECTION OF FILING RECEIPT**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Filing Receipt for the captioned application incorrectly listed priority of two of the related applications. The correct priority is:

AND A CIP OF 09/640,903 08/16/2000

AND A CIP OF 09/375,614 08/17/1999

A copy of the filing receipt showing the correction is enclosed.

Respectfully submitted,

Date: 4 June 2002

By: Frank Rosenberg

Frank Rosenberg  
Registration No. 37,068  
tel: (925) 376-8416



10076881  
JUN 12 2002  
COPY OF PAPERS  
ORIGINALLY FILED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

10076881  
JUN 12 2002  
PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
10076881  
JUN 12 2002

## TRANSMITTAL FORM

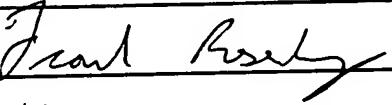
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number	10/076,881	
	Filing Date	02/13/2002	
	First Named Inventor	Wang	
	Group Art Unit	1764	
	Examiner Name	not yet assigned	
Total Number of Pages in This Submission	26	Attorney Docket Number	B-1482-CIP2

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request For Correction Of Filing Receipt
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

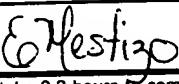
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank Rosenberg	
Signature		
Date	7 June 2002	06/04/2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

5 June 2002

Typed or printed name	Esmeralda Mestizo		
Signature		Date	June 5 <sup>th</sup> 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JUN 12 2002

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 980.00

## Complete if Known

Application Number	10/076,881
Filing Date	02/13/2002
First Named Inventor	Wang
Examiner Name	not yet assigned
Group Art Unit	1764
Attorney Docket No.	B-1482-CIP2

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1749

Deposit Account Name Frank Rosenberg

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	740.00
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$ 740.00

## 2. EXTRA CLAIM FEES

Total Claims	-20**	=	X	=	Fee Paid
Independent Claims	- 3**	=	X	=	
Multiple Dependent					

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	130.00
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	110.00
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 240.00

## SUBMITTED BY

Name (Print/Type)	Frank Rosenberg	Registration No. (Attorney/Agent)	37,068	Telephone	925-376-8416
Signature	Frank Rosenberg			Date	06/04/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,881	02/13/2002	Wang	B-1482-CIP2

Frank S. Rosenberg  
 18 Echo Hill Lane  
 Moraga, CA 94556



CONFIRMATION NO. 1025

## FORMALITIES LETTER



"OC000000007622514"

Date Mailed: 03/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/16/2002 RHARIS1 00000057 10076881

01 FC:101  
02 FC:105740.00 0P  
130.00 0P

*A copy of this notice **MUST** be returned with the reply.*

10076881.061202

M-HAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



**RECORDATION FOR COVER SHEET**  
**PATENTS ONLY** Form PTO-1619A

**Our Fil No.- B-1482-CIP2**

TO: The commissioner of patents and trademarks: Please record the attached original document(s) or copy(ies).

**1. SUBMISSION TYPE**

- New
- Resubmission
- Document ID \_\_\_\_\_
- Correction of PTO Error
- Reel \_\_\_\_\_ Frame \_\_\_\_\_

**2. CONVEYANCE TYPE** (# Of Pages) (# of Items)

<input type="checkbox"/> Assignment	<u>3</u>	<u>1</u>
<input type="checkbox"/> License	_____	_____
<input type="checkbox"/> Merger	_____	_____
<input type="checkbox"/> Security Agreement	_____	_____
<input type="checkbox"/> Name Change	_____	_____
<input type="checkbox"/> Other	_____	_____

**3a. PATENT OR APP. #** 10/076,881

**3b. EXECUTION DATE:** \_\_\_\_\_

**4. CONVEYING PARTY(IES)**

1. <u>Yong Wang</u>	Date <u>05222002</u>	7. _____	Date _____
2. <u>Anna Lee Tonkovich</u>	Date <u>05132002</u>	8. _____	Date _____
3. <u>David Vanderwiel</u>	Date <u>05132002</u>	9. _____	Date _____
4. _____	Date _____	10. _____	Date _____
5. _____	Date _____	11. _____	Date _____
6. _____	Date _____	12. _____	Date _____

**5. RECEIVING PARTY**

Name: Battelle Memorial Institute K1-53

Internal Address: K1-53 P.O Box 999 Intellectual Property Services

Street Address: 902 Battelle Blvd. Intellectual Property Services

City: Richland State: WA Zip: 99352

Any additional names of conveying parties attached? Yes

No

**6. CORRESPONDENT NAME AND ADDRESS**

Name: Tood J. Harrington (K1-53)

Street Address: 902 Battelle Blvd. P.O. Box 999

City: Richland State: WA Zip: 99352

Area Code and Phone Number: (509) 375-2981

**7. FEE & DEPOSIT ACCOUNT #**

Method Of Payment:

Enclosed  Deposit Account \$ 40.00

Deposit Account Number: # 02-1275 (Payor No. 005055)

Authorization to charge additional fees: X Yes

No

**8. STATEMENT AND SIGNATURE**

To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Todd J. Harrington  
 Name of Person Signing

  
 Signature

5/23/02  
 Date